



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER. | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|---------------------|---------------------|-----------------------|------------------------|
| 09/900,237          | 07/06/2001          | Stephen M. Allen      | BB1170USCIP            |

## CONFIRMATION NO. 4901

23906  
 E I DU PONT DE NEMOURS AND COMPANY  
 LEGAL DEPARTMENT - PATENTS  
 1007 MARKET STREET  
 WILMINGTON, DE 19898

## FORMALITIES LETTER



\*OC000000006578319\*

Date Mailed: 09/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Secter 1/18

PATENT

## UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

STEPHEN M. ALLEN

CASE NO.: BB1170 USCIP

APPLICATION NO.: 09/900,237

GROUP ART UNIT: UNKNOWN

FILED: JULY 6, 2001

EXAMINER: UNKNOWN

FOR: PLANT CELLULOSE SYNTHASES

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION-FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed September 19, 2001 (a copy of which is attached), enclosed is a Declaration for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,

THOMAS M. RIZZO  
ATTORNEY FOR APPLICANT  
REGISTRATION NO.41,272  
TELEPHONE: 302-892-7760  
FACSIMILE: 302-892-1026

Dated: November 19, 2001



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on November 14, 2001.  
Date

Melissa McCullin  
Signature

**MELISSA MCCULLIN**

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

09/900,237  
BB1170 USCIP  
RESPONSE TO MISSING PARTS  
FEE TRANSMITTAL SHEET  
POWER OF ATTORNEY  
RETURN RECEIPT CARD

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**O I P  
FREE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/900,237       |
| Filing Date          | JULY 6, 2001     |
| First Named Inventor | STEPHEN M. ALLEN |
| Examiner Name        | UNKNOWN          |
| Group / Art Unit     | UNKNOWN          |
| Attorney Docket No.  | BB1170 USCIP     |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number

04-1928

Deposit Account Name

E. I. du Pont de Nemours and Company

## The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|----------|
| Fee Code     | Fee (\$)     | Fee Code        | Fee (\$) |
| 101          | 740          | 201             | 370      |
| 106          | 330          | 206             | 165      |
| 107          | 510          | 207             | 255      |
| 108          | 740          | 208             | 370      |
| 114          | 160          | 214             | 80       |

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

| Total Claims       | -20 | = | 0 | X | 18  | = | 0 | Extra Claims | Fee from below | Fee Paid |
|--------------------|-----|---|---|---|-----|---|---|--------------|----------------|----------|
| Independent Claims | -3  | = | 0 | X | 84  | = | 0 |              |                |          |
| Multiple Dependent |     |   |   | X | 280 | = | 0 |              |                |          |

## Multiple Dependent

| Large Entity | Small Entity | Fee Description |     |
|--------------|--------------|-----------------|-----|
| Fee Code     | Fee (\$)     | Fee Code        |     |
| 103          | 18           | 203             | 9   |
| 102          | 84           | 202             | 42  |
| 104          | 280          | 204             | 140 |
| 109          | 84           | 209             | 42  |
| 110          | 18           | 210             | 9   |

SUBTOTAL (2)

(\$ 0)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  | 130.00   |
| 127      | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139      | 130      | 139      | 130      | Non-English specification  |          |
| 147      | 2,520    | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116      | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117      | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118      | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128      | 1,960    | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119      | 320      | 219      | 160      | Notice of Appeal   |          |
| 120      | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121      | 280      | 221      | 140      | Request for oral hearing   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140      | 110      | 240      | 55       | Petition to revive – unavoidable   |          |
| 141      | 1,280    | 241      | 640      | Petition to revive – unintentional   |          |
| 142      | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143      | 460      | 243      | 230      | Design issue fee   |          |
| 144      | 620      | 244      | 310      | Plant issue fee  |          |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123      | 50       | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126      | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149      | 740      | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169      | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130)

## SUBMITTED BY

## Complete (if applicable)

|                   |                        |                                 |         |           |                          |
|-------------------|------------------------|---------------------------------|---------|-----------|--------------------------|
| Name (Print/Type) | THOMAS M. RIZZO        | Registration No. Attorney/Agent | 41, 272 | Telephone | 302-892-7760             |
| Signature         | <i>Thomas M. Rizzo</i> |                                 |         | Date      | <i>November 19, 2001</i> |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.